

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Xiao Zhang	:	Date: January 9, 2004
Group Art Unit:	Unassigned	:	IBM Corporation
Examiner:	Unassigned	:	Intellectual Property Law
Serial No.:	10/684,125	:	Dept. 917, Bldg. 006-1
Filed:	October 10, 2003	:	3605 Highway 52 North
Title:	CROSS-SELLING IN STANDALONE SALES SYSTEMS	:	Rochester, MN 55901-7829

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.


Serial No. 10/684,125  
Docket No. ROC920030245US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

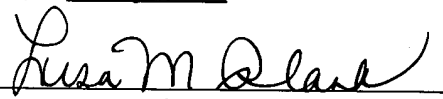
Date: January 9, 2004

Respectfully submitted,

By   
Grant A. Johnson, Attorney  
Registration No. 42,696

**CERTIFICATE OF MAILING UNDER  
37 C.F.R. 1.8(a)**

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 9, 2004.

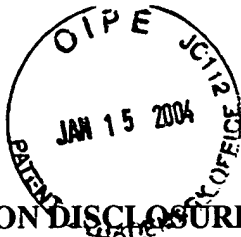
  
Lisa M. Plank

Telephone: (507) 253-4660  
Fax: (507) 253-2382

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2



Application Number.: 10/684,125  
 Filing Date: October 10, 2003  
 First Named Inventor: Xiao Zhang  
 Art Unit: Unassigned  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920030245US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 2003/00558915 A1	03-20-2003	Peters	
		US -6473794	10-29-2002	Guheen et al.	
		US -6167383	12-26-2000	Henson	
		US -6519571	02-11-2003	Guheen et al.	
		US -6536037	03-18-2003	Guheen et al.	
		US -6606744	08-12-2003	Mikurak	
		US -6615166	09-02-2003	Guheen et al.	
		US -			
		US -			
		US -			
		US -			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		WO 00/73928 A2	12-07-2000	Guheen, et al.		
		WO 00/73929 A2	12-07-2000	Guheen, at al.		
		WO 00/73930 A2	12-07-2000	Guheen, et al.		
		WO 00/73956 A2	12-07-2000	Guheen, et al.		
		WO 00/73955 A2	12-07-2000	Guheen, et al.		
		WO 00/73957 A2	12-07-2000	Guheen et al.		
		WO 00/73958 A2	12-07-2000	Guheen et al.		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

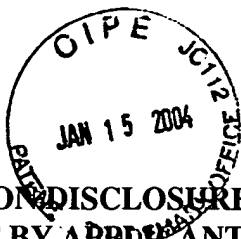
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2



Application Number.: 10/684,125  
 Filing Date: October 10, 2003  
 First Named Inventor: Xiao Zhang  
 Art Unit: Unassigned  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920030245US1

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		WO 01/20428 A2	03-22-2001	Roy, et al.		
		WO 01/37136 A2	05-25-2001	Patel, et al.		
		WO 01/01297 A1	01-04-2001	D'Souza		
		WO 01/39028 A2	05-31-2001	Mikurak		
		WO 01/39029 A2	05-31-2001	Mikurak		
		WO 01/39030 A2	05-31-2001	Mikurak		
		WO 01/39082 A2	05-31-2001	Mikurak		
		WO 01/39086 A2	05-31-2001	Mikurak		
		WO 01/96981 A2	12-20-2001	York, et al.		
		WO 02/063496 A2	08-15-2002	Muniz		
		WO 02/097705 A1	12-05-2002	Peters		
		WO 02/10954 A2	02-07-2002	Oldale, et al.		
		WO 02/33616 A1	04-25-2002	Mok, et al.		
		WO 02/44864 A2	06-06-2002	Freishtat, et al.		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--